

FTT FORM

FINANCIAL TRANSACTION TAX

Information on the processing of personal data pursuant art. 13, Legislative Decree no.196/2003 in the field of Personal Data Protection	<i>Legislative Decree No. 196 of the June 30, 2003 "The code for the protection of personal data", provides for a system of protection for the processing carried out on personal data.</i>
Purposes of processing	<p>The Ministry of the Economy and Finance and the Revenue Agency wish to inform you, on their behalf and on behalf of other persons obliged to do so, that the form contains several items of personal data that will be processed by the Ministry of the Economy and Finance and the Revenue Agency, as well as by intermediaries identified by legislation (Tax Assistance Centres, banks, postal agencies, trade associations and professionals) for the purposes of payment, assessment and collection of taxes and that, to this end, certain data may be published pursuant to the combined provisions of article 69 of Presidential Decree no. 600 of 29 September 1973 as amended by Law no. 133 of 6 August 2008, and by article 66-bis of Presidential Decree no. 633 of 1972.</p> <p>The data in the possession of the Ministry of the Economy and Finance and the Revenue Agency may be communicated to other public entities where legislation provides for this, or when such communication is necessary in order for them to carry out their institutional functions, subject to this being communicated to the Privacy Commissioner (Data Protection Commissioner) beforehand.</p> <p>The same information may also be communicated to private or public economic entities where the legislation provides for this.</p>
Personal data	<p>The data requested in the form must be supplied to prevent the application of administrative and, in some instances, criminal sanctions. It is not compulsory to provide a telephone number, mobile phone number, fax number and email address. Providing these makes it possible to receive, free of charge, from the Revenue Agency, information and updates regarding final payment dates, news, obligations and services offered.</p>
Method of processing	<p>The form may be delivered to an intermediary provided for by legislation (Tax Assistance Centres [CAF], trade associations, professionals) who sends the data to the Ministry of the Economy and Finance and the Revenue Agency.</p> <p>Any data provided is mainly processed electronically and using appropriate procedures for the purpose, including checks on the data contained in the form:</p> <ul style="list-style-type: none"> • with other data in the possession of the Ministry of the Economy and Finance and the Revenue Agency, also if provided, as required by law, by other subjects; • with data in the possession of other entities
Data controllers	<p>When the said data is made available to them and falls under their direct control, the Ministry of the Economy and Finance, the Revenue Agency and the intermediaries become "the data controllers for the processing of the personal data".</p> <p>In particular the following persons are "data controllers":</p> <ul style="list-style-type: none"> • the Ministry of the Economy and Finance and the Revenue Agency, at whose offices a list of the "data processors" is kept and this list may be viewed on request; • if they take advantage of the right to appoint "data processors", the intermediaries must supply details as to the identity of the data processors, to the person concerned.
Persons responsible for data processing	<p>"Data controllers" may make use of the services of others designated "responsible".</p> <p>In particular, the Revenue Agency makes use of the services of the company So.ge.i. S.p.a. as the external entity responsible for data processing, in its capacity as technological partner to which the management of the information system of the Tax Register is entrusted.</p>
Taxpayer's rights	<p>The person (taxpayer) concerned, in terms of article 7 of Legislative Decree No. 196/2003, may view his personal data at the premises of the data controller or the person responsible for data processing in order to verify the use to which it is being put or if necessary, to correct or update it within the limits provided for by law, or to cancel it or oppose its processing, where it is being processed illegally.</p> <p>These rights may be exercised upon request to:</p> <ul style="list-style-type: none"> • Ministero dell'Economia e delle Finanze – Via XX Settembre 97 – 00187 Roma; • Agenzia delle Entrate – Via Cristoforo Colombo, 426 c/d – Roma.
Consent	<p>The Ministry of the Economy and Finance and the Revenue Agency, in their capacity as public entities, do not need to acquire the consent of the persons concerned in order to process their personal data. Intermediaries do not need to acquire consent for processing of personal data, as their conferment is required by law.</p> <p>This information is given generally on behalf of all the data controllers referred to above.</p>

TAX CODE

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FTT FORM

FINANCIAL TRANSACTION TAX

TYPE OF RETURN	Correction of existing return <input type="checkbox"/> Supplementary return <input type="checkbox"/>	RELATIONSHIP Taxpayer <input type="checkbox"/> Intermediary <input type="checkbox"/>	Reference year <input style="width: 100%;" type="text"/>
INDIVIDUALS	Surname <input style="width: 100%;" type="text"/> Name <input style="width: 100%;" type="text"/> Gender <input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/>		
	Date of birth <input style="width: 20%;" type="text"/> day <input style="width: 20%;" type="text"/> month <input style="width: 20%;" type="text"/> year <input style="width: 40%;" type="text"/> Town (or foreign Country) of birth <input style="width: 100%;" type="text"/> Province (initial) <input style="width: 20%;" type="text"/>		
TAXPAYERS OTHER THAN INDIVIDUALS	Name or company name <input style="width: 100%;" type="text"/> Legal nature <input style="width: 20%;" type="text"/>		
NON-RESIDENT TAXPAYERS	Foreign Country code <input style="width: 20%;" type="text"/>		
INFORMATION REGARDING REPRESENTATIVE SIGNING THE RETURN	Tax code <input style="width: 30%;" type="text"/> Appointment code <input style="width: 10%;" type="text"/> Company tax code <input style="width: 30%;" type="text"/>		
	Surname <input style="width: 100%;" type="text"/> Name <input style="width: 100%;" type="text"/> Gender <input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/>		
	Date of birth <input style="width: 20%;" type="text"/> day <input style="width: 20%;" type="text"/> month <input style="width: 20%;" type="text"/> year <input style="width: 40%;" type="text"/> Town (or foreign Country) of birth <input style="width: 100%;" type="text"/> Province (initial)a (sigla) <input style="width: 20%;" type="text"/>		
	Foreign Country code <input style="width: 20%;" type="text"/> Federated state, province, county <input style="width: 40%;" type="text"/> Place of residence <input style="width: 40%;" type="text"/>		
	Foreign address <input style="width: 100%;" type="text"/>		
CONTACT DETAILS	Telephone <input style="width: 30%;" type="text"/> dialling code number <input style="width: 30%;" type="text"/> Mobile phone <input style="width: 30%;" type="text"/> dialling code number <input style="width: 30%;" type="text"/> Fax <input style="width: 30%;" type="text"/> dialling code number <input style="width: 30%;" type="text"/>		
	Email address <input style="width: 100%;" type="text"/>		
SIGNATURE	Send electronic notice to intermediary <input type="checkbox"/> SIGNATURE <input style="width: 100%;" type="text"/>		
UNDERTAKING TO ELECTRONIC SUBMISSION	tax number of the responsible party <input style="width: 100%;" type="text"/> Reception of electronic notice <input type="checkbox"/>		
	Date of the undertaking <input style="width: 20%;" type="text"/> day <input style="width: 20%;" type="text"/> month <input style="width: 20%;" type="text"/> year <input style="width: 40%;" type="text"/> Signature of the responsible party <input style="width: 100%;" type="text"/>		

PART TT

Section I
Data on trans-
actions
carried out

JULY		Number of transactions	Taxable amount	Amount owed					
TT25	Holdings	1	2	3					
			,00	,00					
		Number of transactions	Notional Value	Amount owed					
TT26	Derivatives	1	2	3					
			,00	,00					
		Number of transactions	Taxable amount	Amount owed					
TT27	High frequency trades	1	2	3					
			,00	,00					
		Total amount owed		Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
TT28	Payments			3	4	5	6	7	8
				,00	,00	,00	,00	,00	,00
AUGUST		Number of transactions	Taxable amount	Amount owed					
TT29	Holdings	1	2	3					
			,00	,00					
		Number of transactions	Notional Value	Amount owed					
TT30	Derivatives	1	2	3					
			,00	,00					
		Number of transactions	Taxable amount	Amount owed					
TT31	High frequency trades	1	2	3					
			,00	,00					
		Total amount owed		Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
TT32	Payments			3	4	5	6	7	8
				,00	,00	,00	,00	,00	,00
SEPTEMBER		Number of transactions	Taxable amount	Amount owed					
TT33	Holdings	1	2	3					
			,00	,00					
		Number of transactions	Notional Value	Amount owed					
TT34	Derivatives	1	2	3					
			,00	,00					
		Number of transactions	Taxable amount	Amount owed					
TT35	High frequency trades	1	2	3					
			,00	,00					
		Total amount owed		Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
TT36	Payments			3	4	5	6	7	8
				,00	,00	,00	,00	,00	,00
OCTOBER		Number of transactions	Taxable amount	Amount owed					
TT37	Holdings	1	2	3					
			,00	,00					
		Number of transactions	Notional Value	Amount owed					
TT38	Derivatives	1	2	3					
			,00	,00					
		Number of transactions	Taxable amount	Amount owed					
TT39	High frequency trades	1	2	3					
			,00	,00					
		Total amount owed		Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
TT40	Payments			3	4	5	6	7	8
				,00	,00	,00	,00	,00	,00
NOVEMBER		Number of transactions	Taxable amount	Amount owed					
TT41	Holdings	1	2	3					
			,00	,00					
		Number of transactions	Notional Value	Amount owed					
TT42	Derivatives	1	2	3					
			,00	,00					
		Number of transactions	Taxable amount	Amount owed					
TT43	High frequency trades	1	2	3					
			,00	,00					
		Total amount owed		Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
TT44	Payments			3	4	5	6	7	8
				,00	,00	,00	,00	,00	,00
DECEMBER		Number of transactions	Taxable amount	Amount owed					
TT45	Holdings	1	2	3					
			,00	,00					
		Number of transactions	Notional Value	Amount owed					
TT46	Derivatives	1	2	3					
			,00	,00					
		Number of transactions	Taxable amount	Amount owed					
TT47	High frequency trades	1	2	3					
			,00	,00					
		Total amount owed		Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
TT48	Payments			3	4	5	6	7	8
				,00	,00	,00	,00	,00	,00

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Section II Summary	Credit from previous return	Excess amounts paid, current return	Amount used	Credit on supplemen- tary statements	Credit to carry forward
TT49	1 <input type="text" value=""/> ,00	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/> ,00	4 <input type="text" value=""/> ,00	5 <input type="text" value=""/> ,00
	Credit requested as refund				
	6 <input type="text" value=""/> ,00				

Section III Holdings and derivatives Exclusions/ex- emptions	PARAGRAPH 491		PARAGRAPH 492	
	Number of transactions	Taxable amount	Number of transactions	Notional value
TT50 Issuance and cancellation	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT51 Purchase of newly issued shares	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT52 Allocation of securities	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT53 Repo and Security Lending	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT54 Intragroup	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT55 CIU Restructuring and Reorganisation	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT56 Riskless Principal	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT57 Sovereign entities	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT58 Ethical funds	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT59 Ethical portfolios	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT60 Market-Making	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT61 Liquidity support	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00
TT62 Pension funds	1 <input type="text" value=""/>	2 <input type="text" value=""/> ,00	3 <input type="text" value=""/>	4 <input type="text" value=""/> ,00