

FTT FORM

FINANCIAL TRANSACTION TAX

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| Information on the processing of personal data pursuant art. 13, Legislative Decree no.196/2003 in the field of Personal Data Protection | <i>Legislative Decree No. 196 of the June 30, 2003 "The code for the protection of personal data", provides for a system of protection for the processing carried out on personal data.</i> |
| Purposes of processing | <p>The Ministry of the Economy and Finance and the Revenue Agency wish to inform you, on their behalf and on behalf of other persons obliged to do so, that the form contains several items of personal data that will be processed by the Ministry of the Economy and Finance and the Revenue Agency, as well as by intermediaries identified by legislation (Tax Assistance Centres, banks, postal agencies, trade associations and professionals) for the purposes of payment, assessment and collection of taxes and that, to this end, certain data may be published pursuant to the combined provisions of article 69 of Presidential Decree no. 600 of 29 September 1973 as amended by Law no. 133 of 6 August 2008, and by article 66-bis of Presidential Decree no. 633 of 1972.</p> <p>The data in the possession of the Ministry of the Economy and Finance and the Revenue Agency may be communicated to other public entities where legislation provides for this, or when such communication is necessary in order for them to carry out their institutional functions, subject to this being communicated to the Privacy Commissioner (Data Protection Commissioner) beforehand.</p> <p>The same information may also be communicated to private or public economic entities where the legislation provides for this.</p> |
| Personal data | <p>The data requested in the form must be supplied to prevent the application of administrative and, in some instances, criminal sanctions. It is not compulsory to provide a telephone number, mobile phone number, fax number and email address. Providing these makes it possible to receive, free of charge, from the Revenue Agency, information and updates regarding final payment dates, news, obligations and services offered.</p> |
| Method of processing | <p>The form may be delivered to an intermediary provided for by legislation (Tax Assistance Centres [CAF], trade associations, professionals) who sends the data to the Ministry of the Economy and Finance and the Revenue Agency.</p> <p>Any data provided is mainly processed electronically and using appropriate procedures for the purpose, including checks on the data contained in the form:</p> <ul style="list-style-type: none"> • with other data in the possession of the Ministry of the Economy and Finance and the Revenue Agency, also if provided, as required by law, by other subjects; • with data in the possession of other entities |
| Data controllers | <p>When the said data is made available to them and falls under their direct control, the Ministry of the Economy and Finance, the Revenue Agency and the intermediaries become "the data controllers for the processing of the personal data".</p> <p>In particular the following persons are "data controllers":</p> <ul style="list-style-type: none"> • the Ministry of the Economy and Finance and the Revenue Agency, at whose offices a list of the "data processors" is kept and this list may be viewed on request; • if they take advantage of the right to appoint "data processors", the intermediaries must supply details as to the identity of the data processors, to the person concerned. |
| Persons responsible for data processing | <p>"Data controllers" may make use of the services of others designated "responsible".</p> <p>In particular, the Revenue Agency makes use of the services of the company So.ge.i. S.p.a. as the external entity responsible for data processing, in its capacity as technological partner to which the management of the information system of the Tax Register is entrusted.</p> |
| Taxpayer's rights | <p>The person (taxpayer) concerned, in terms of article 7 of Legislative Decree No. 196/2003, may view his personal data at the premises of the data controller or the person responsible for data processing in order to verify the use to which it is being put or if necessary, to correct or update it within the limits provided for by law, or to cancel it or oppose its processing, where it is being processed illegally.</p> <p>These rights may be exercised upon request to:</p> <ul style="list-style-type: none"> • Ministero dell'Economia e delle Finanze – Via XX Settembre 97 – 00187 Roma; • Agenzia delle Entrate – Via Cristoforo Colombo, 426 c/d – Roma. |
| Consent | <p>The Ministry of the Economy and Finance and the Revenue Agency, in their capacity as public entities, do not need to acquire the consent of the persons concerned in order to process their personal data. Intermediaries do not need to acquire consent for processing of personal data, as their conferment is required by law.</p> |

This information is given generally on behalf of all the data controllers referred to above.

TAX CODE

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FINANCIAL TRANSACTION TAX

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| TYPE OF RETURN | Correction of existing return <input type="checkbox"/> Supplementary return <input type="checkbox"/> | RELATIONSHIP Taxpayer <input type="checkbox"/> Intermediary <input type="checkbox"/> | Reference year <input style="width: 100%;" type="text"/> |
| INDIVIDUALS | Surname <input style="width: 100%;" type="text"/> Name <input style="width: 100%;" type="text"/> Gender <input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> | | |
| | Date of birth <input style="width: 20%;" type="text"/> day <input style="width: 20%;" type="text"/> month <input style="width: 20%;" type="text"/> year Town (or foreign Country) of birth <input style="width: 100%;" type="text"/> Province (initial) <input style="width: 20%;" type="text"/> | | |
| TAXPAYERS OTHER THAN INDIVIDUALS | Name or company name <input style="width: 100%;" type="text"/> Legal nature <input style="width: 20%;" type="text"/> | | |
| NON-RESIDENT TAXPAYERS | Foreign Country code <input style="width: 20%;" type="text"/> | | |
| INFORMATION REGARDING REPRESENTATIVE SIGNING THE RETURN | Tax code <input style="width: 30%;" type="text"/> Appointment code <input style="width: 20%;" type="text"/> Company tax code <input style="width: 30%;" type="text"/> | | |
| | Surname <input style="width: 100%;" type="text"/> Name <input style="width: 100%;" type="text"/> Gender <input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> | | |
| | Date of birth <input style="width: 20%;" type="text"/> day <input style="width: 20%;" type="text"/> month <input style="width: 20%;" type="text"/> year Town (or foreign Country) of birth <input style="width: 100%;" type="text"/> Province (initial)a (sigla) <input style="width: 20%;" type="text"/> | | |
| | Foreign Country code <input style="width: 20%;" type="text"/> Federated state, province, county <input style="width: 50%;" type="text"/> Place of residence <input style="width: 30%;" type="text"/> | | |
| | Foreign address <input style="width: 100%;" type="text"/> | | |
| CONTACT DETAILS | Telephone <input style="width: 30%;" type="text"/> dialling code number Mobile phone <input style="width: 30%;" type="text"/> dialling code number Fax <input style="width: 30%;" type="text"/> dialling code number | | |
| | Email address <input style="width: 100%;" type="text"/> | | |
| SIGNATURE | Send electronic notice to intermediary <input type="checkbox"/> SIGNATURE <input style="width: 100%;" type="text"/> | | |
| UNDERTAKING TO ELECTRONIC SUBMISSION | tax number of the responsible party <input style="width: 100%;" type="text"/> Reception of electronic notice <input type="checkbox"/> Date of the undertaking <input style="width: 20%;" type="text"/> day <input style="width: 20%;" type="text"/> month <input style="width: 20%;" type="text"/> year Signature of the responsible party <input style="width: 100%;" type="text"/> | | |

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PART TT

Section I
Data on transactions carried out

| JANUARY | | Number of transactions | Taxable amount | Amount owed | | | | | |
|----------|-----------------------|------------------------|----------------|-------------|------------------------------------|-------------------------|------------------------------|------------------------------|--------------------|
| TT1 | Holdings | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Notional Value | Amount owed | | | | | |
| TT2 | Derivatives | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT3 | High frequency trades | 1 | 2 | 3 | Use of credit from previous return | Amount paid using F24 | Amount paid by bank transfer | Excess amount paid | |
| | | | .00 | .00 | | | | | |
| | | Total amount owed | | | | | | | |
| TT4 | Payments | | | | 4 | 6 | 7 | 8 | |
| | | | | | .00 | .00 | .00 | .00 | |
| FEBRUARY | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT5 | Holdings | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Notional Value | Amount owed | | | | | |
| TT6 | Derivatives | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT7 | High frequency trades | 1 | 2 | 3 | Use of credit from previous return | Use of surplus payments | Amount paid using F24 | Amount paid by bank transfer | Excess amount paid |
| | | | .00 | .00 | | | | | |
| | | Total amount owed | | | | | | | |
| TT8 | Payments | | | | 4 | 5 | 6 | 7 | 8 |
| | | | | | .00 | .00 | .00 | .00 | .00 |
| MARCH | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT9 | Holdings | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Notional Value | Amount owed | | | | | |
| TT10 | Derivatives | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT11 | High frequency trades | 1 | 2 | 3 | Use of credit from previous return | Use of surplus payments | Amount paid using F24 | Amount paid by bank transfer | Excess amount paid |
| | | | .00 | .00 | | | | | |
| | | Total amount owed | | | | | | | |
| TT12 | Payments | | | | 4 | 5 | 6 | 7 | 8 |
| | | | | | .00 | .00 | .00 | .00 | .00 |
| APRIL | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT13 | Holdings | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Notional Value | Amount owed | | | | | |
| TT14 | Derivatives | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT15 | High frequency trades | 1 | 2 | 3 | Use of credit from previous return | Use of surplus payments | Amount paid using F24 | Amount paid by bank transfer | Excess amount paid |
| | | | .00 | .00 | | | | | |
| | | Total amount owed | | | | | | | |
| TT16 | Payment | | | | 4 | 5 | 6 | 7 | 8 |
| | | | | | .00 | .00 | .00 | .00 | .00 |
| MAY | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT17 | Holdings | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Notional Value | Amount owed | | | | | |
| TT18 | Derivatives | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT19 | High frequency trades | 1 | 2 | 3 | Use of credit from previous return | Use of surplus payments | Amount paid using F24 | Amount paid by bank transfer | Excess amount paid |
| | | | .00 | .00 | | | | | |
| | | Total amount owed | | | | | | | |
| TT20 | Payments | | | | 4 | 5 | 6 | 7 | 8 |
| | | | | | .00 | .00 | .00 | .00 | .00 |
| JUNE | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT21 | Holdings | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Notional Value | Amount owed | | | | | |
| TT22 | Derivatives | 1 | 2 | 3 | | | | | |
| | | | .00 | .00 | | | | | |
| | | Number of transactions | Taxable amount | Amount owed | | | | | |
| TT23 | High frequency trades | 1 | 2 | 3 | Use of credit from previous return | Use of surplus payments | Amount paid using F24 | Amount paid by bank transfer | Excess amount paid |
| | | | .00 | .00 | | | | | |
| | | Total amount owed | | | | | | | |
| TT24 | Payments | | | | 4 | 5 | 6 | 7 | 8 |
| | | | | | .00 | .00 | .00 | .00 | .00 |

